

Is quartz crystal microbalance really a mass sensor?

V.M. Mecea*

QCM Laboratory, Gäddvägen 16, 175 47 Järfälla, Sweden

Received 12 November 2004; received in revised form 14 July 2005; accepted 19 January 2006

Available online 20 February 2006

Abstract

The article reveals that the local mass sensitivity of the quartz crystal microbalance (QCM) depends on the local intensity of the inertial field developed on the crystal surface during crystal vibration. It is shown that the field intensity is measured by the acceleration developed in a certain point. The maximum intensity of the inertial field, in the centre of the quartz resonator, is million times higher than the intensity of the gravitational field on the Earth. Experimental results reveal that the product between the minimum detectable mass and the intensity of the field acting on that mass is a constant for both QCM and beam balances, explaining thus, why QCM is more sensitive than conventional analytical microbalances. It is shown that the apparent effect of a liquid viscosity on the frequency response of a quartz crystal resonator in contact with a liquid is, in fact, the result of the field intensity dependency of the mass sensitivity, being thus clear that QCM is really a mass sensor. Based on these ideas, three fundamental principles for mass measurements are formulated and demonstrated: the field principle, the mass sensitivity principle and the general equivalence principle.

© 2006 Elsevier B.V. All rights reserved.

Keywords: Acceleration; Beam balance; QCM; Mega-gravity field; Equivalence principle; General relativity

1. Introduction

Measuring lengths and masses were probably the earliest challenges of the mankind. Drawings showing beam balances with arms of equal length can be seen on a 3500 years old papyrus from the ancient Egypt [1]. The accuracy of the mass measurements with mechanical beam balances was continuously improved ever since. During the 19th century these balances achieved the relative sensitivity (sensitivity/maximum load) of 10^{-9} [2].

In 1959 Sauerbrey [3] introduced a new method for mass measurements. Instead of measuring the tipping angle of a beam balance, or the displacement in case of a spring balance, he used the change in the frequency of a quartz resonator to measure the mass of a film adherently deposited on the quartz resonator surface. Thus, it was possible to detect even 10^{-16} kg, while the commercial analytical microbalances can detect about 10^{-10} kg [4]. Since Sauerbrey demonstrated that mass can be measured using vibrations and frequency change is related to the mass change, several vibrating systems were developed to measure the

mass [5,6]. The mass measuring instrument introduced by Sauerbrey was named quartz crystal microbalance (QCM). It became a largely used instrument for small mass measurements in vacuum, gas and liquid phase [7–15]. Since 1980 QCM was used also with one face of the quartz resonator in contact with a liquid [16]. A few years later, Kanazawa and Gordon calculated the frequency change when one face of a quartz resonator is in contact with a liquid [10]. The equation derived by Kanazawa and Gordon, supported by dozens of experimental results, revealed that the frequency response of the QCM in contact with a liquid depends not only on the liquid density, but also on its viscosity. Thus, more and more QCM users came to the idea that QCM is not a real mass sensor and, therefore, it is more appropriate to use the name thickness shear mode (TSM) sensor [7] instead of QCM. On the other hand, it was not clear why QCM is more sensitive than analytical microbalances and why the deposition must be adherent to the quartz resonator surface in order to measure its mass using the frequency change of the quartz resonator. These questions have limited the development of the QCM technique and a reliable interpretation of the experimental results.

The aim of the article is to provide an answer to these questions and to put some light on the more general problem of the mass measurement, by formulating three fundamental principles for mass measurements.

* Tel.: +46 8 58015061; fax: +46 8 7612067.

E-mail address: vasile.mecea@qcmlab.com.

2. Sensitivity analysis

2.1. Quartz crystal microbalance

Ever since quartz crystal resonators have been used for frequency control applications in radio-communication equipment, the effect of a foreign material deposited on their surfaces on the resonant frequency has been known. However, a quantitative relationship was not established until 1959. The possibility of using quartz crystal resonators as quantitative mass measuring devices was first explored by Sauerbrey [3]. The decrease of the resonant frequency of a thickness shear vibrating quartz crystal resonator, having AT or BT cut, was found to be proportional to the added mass of the deposited film:

$$\Delta f = -\frac{f_q^2 M_f}{N\rho_q S} = -\frac{f_q^2 m_f}{N\rho_q} \quad (1)$$

where f_q is the fundamental resonant frequency of the quartz, N the frequency constant of the specific crystal cut ($N_{AT} = 1.67 \times 10^5$ Hz cm; $N_{BT} = 2.5 \times 10^5$ Hz cm), $\rho_q = 2.65$ kg/dm³ the quartz density and S is the surface area of the deposited film, the mass of which is M_f . When the deposited film covers the whole sensitive area of the quartz resonator it is easier to use the areal density $m_f = M_f/S$ to further calculate the film thickness $l_f = m_f/\rho_f$, where ρ_f is the density of the deposited film. A typical quartz crystal resonator is shown in Fig. 1.

The key-hole shaped electrodes on both major faces of the quartz resonator are vacuum deposited gold or silver films, about 150 nm in thickness. The mass-sensitive area is situated in the central part of the resonator, covering about the area where the two electrodes overlap.

Eq. (1) shows that the QCM mass sensitivity $\Delta f/m_f$ is proportional to the square of the quartz resonator frequency.

There are also experimental data showing that on the surface of a quartz crystal resonator we have a mass sensitivity distribution that closely follows the vibration amplitude distribution. In Fig. 2 are shown experimental results published elsewhere [10,11]. The recorded frequency change for deposited silver spots, having the same mass M_f , is proportional to the vibration amplitude in that point indicated by the voltage U . A 5 MHz, plano-convex, AT-cut resonator with 200 mm curvature radius was used. The diameter of the resonator was 14 mm. It had silver electrodes of different diameters on each side: 13 mm on the flat side and 6 mm on the convex side. To reveal the mass sensitivity distribution, small silver spots having the same thickness

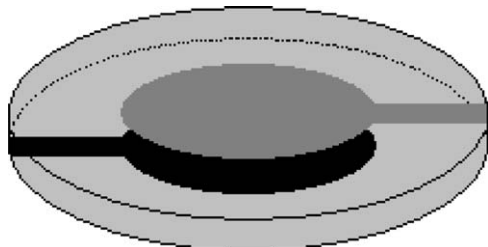


Fig. 1. A typical quartz crystal resonator used for mass measurements.

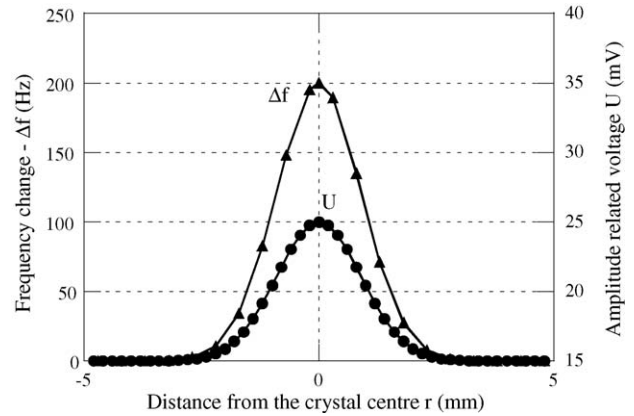


Fig. 2. Mass sensitivity related Δf and vibration amplitude related U distribution along one of the quartz resonator diameters.

and a diameter of 0.6 mm, were vacuum deposited along one of the crystal diameters, with 0.5 mm spacing between them. The amplitude distribution along the same diameter was revealed by using the scanning probe method [15–17]. The voltage U is proportional to the vibration amplitude in each point along the chosen diameter. Similar results were reported in the literature [3,18–23].

Both the mass sensitivity and amplitude distribution curves follow a Gaussian function [20].

The displacement of a point, vibrating with simple harmonic motion, may be described as

$$x = A \sin(\omega t)$$

where A is the vibrational amplitude in that point and $\omega = 2\pi f$ is the angular frequency.

The acceleration of this motion is

$$a = -\omega^2 A \sin(\omega t) = -4\pi^2 f^2 A \sin(\omega t)$$

The maximum value of the acceleration in that point is $a_{\max} = \omega^2 A$. On the crystal surface the highest vibration amplitude A_0 and the maximum acceleration $a_0 = \omega^2 A_0$ is in the centre of the quartz resonator.

From Eq. (1) we conclude that the mass measuring sensitivity is proportional to the square of the quartz resonator frequency. From the experimental results shown in Fig. 2 we conclude that the mass measuring sensitivity of the QCM is proportional to the vibrating amplitude in that point. These two statements lead to the conclusion that the physical quantity which determines the mass measuring sensitivity in every point on the surface of a quartz crystal resonator is the acceleration of the crystal vibration in that point.

Earlier experimental results in gas and vacuum [18,24,25] indicated that the vibration amplitude in the centre of a quartz resonator is in the range from 10 to 200 nm.

In order to correlate the mass measuring sensitivity in the centre of the quartz crystal resonator with the corresponding acceleration in this point we shall rely on the previous results obtained for amplitude measurements in the centre of a 5 MHz plano–plano AT-cut quartz resonator using scanning tunnelling

microscopy [25]. Here it is stated that the maximum vibration amplitude in the centre of such a crystal is

$$A_0 = CQV_d,$$

where $C = 1.4 \times 10^{-12}$ m/V, Q the quality factor of the quartz resonator and V_d is the peak voltage applied on the quartz resonator electrodes.

A small silver spot with a diameter of 0.45 mm and thickness of 200 nm, measured with an adjacent film thickness monitor, having a mass $M_f = 3.3399 \times 10^{-10}$ kg was vacuum deposited in the centre of a 4,951,605 Hz plano–plano AT-cut quartz resonator. The frequency change $\Delta f = 96.925$ Hz, the crystal inductance $L = 73$ mH and resistance $R = 15 \Omega$ were measured with a Hewlett-Packard network analyser model E 5100A, driving the crystal at $P = 100 \mu\text{W}$. Calculating $Q = \omega L/R = 151,411$ and $V_d = \sqrt{2PR} = 0.0548$ V we get $A_0 = 116.1 \text{ \AA}$. The maximum acceleration is $a_0 = 1.1238 \times 10^7 \text{ m/s}^2 = 1.1456 \times 10^6 g$, where $g = 9.81 \text{ m/s}^2$ is the gravitational acceleration. It shows that, in the centre of a quartz crystal resonator, acceleration is more than one million times higher than the gravitational acceleration. Using plano-convex quartz resonators with higher Q value and higher driving levels, this acceleration can be much higher. Thus, a quartz crystal resonator can create a mega-gravity field on its surface.

In order to evaluate the minimum detectable mass in the centre of the quartz resonator we use the frequency shift $\Delta f = 96.925$ Hz corresponding to the deposition of a silver spot with a mass $M_f = 3.3399 \times 10^{-10}$ kg. The minimum detectable mass M_f^{min} depends also on the frequency stability $\Delta f/f$ which can be attained over the length of the mass measuring process. Previously reported results [26], showed an experimental frequency stability $\Delta f/f = \pm 1.5 \times 10^{-9}$ and stated that even 1 pg/cm^2 can be detected in case of a better temperature stability. This corresponds to a frequency stability $\Delta f/f = 1.13 \times 10^{-11}$. In some special conditions [27], using better temperature control and ultra-high vacuum we can rely on an even better frequency stability $\Delta f/f = 5 \times 10^{-12}$. In this case $M_f^{\text{min}} = 8.53 \times 10^{-17}$ kg. It is interesting to look at the product between the minimum detectable mass and the acceleration acting on that mass:

$$\begin{aligned} \Gamma &= M_f^{\text{min}} a_0 = 8.53 \times 10^{-17} \text{ kg} \times 1.1238 \times 10^7 \text{ m/s}^2 \\ &= 9.5536 \times 10^{-10} \text{ N} \approx 10^{-9} \text{ N}. \end{aligned}$$

2.2. Conventional microbalances

We can compare this result obtained for the case of the QCM with the case of the most sensitive balances we currently use in our laboratories: beam, cantilever, torsion or spring balances. Depending on their maximum allowable load, the minimum detectable mass is $M_f^{\text{min}} = 0.1 \mu\text{g} = 10^{-10}$ kg. This sensitivity can only be attained in a vibration-free environment at a constant temperature.

All these balances have a common feature: the acceleration acting on the measured mass is just the gravitational acceleration g . In this case we have $\Gamma = M_f^{\text{min}} g = 9.81 \times 10^{-10} \text{ N} \approx 10^{-9} \text{ N}$.

Γ should not be interpreted as a universal constant. It is rather a proof that there is a connection between mass and acceleration. The magnitude of Γ refers to the same level of exigency towards the environmental conditions for both QCM and conventional balances. This idea was first discussed earlier [28].

3. Acceleration dependent mass sensitivity

On the surface of a quartz crystal resonator the acceleration of the shear vibration varies from zero to several millions times the gravitational acceleration g over a distance of 2–3 mm from about the electrode edges to the electrode centre. In the same way varies the mass sensitivity too.

The effect of the gravitational acceleration on the cantilever, torsion and spring balances is obvious and will not be discussed here. However, a beam balance works as a comparator and it is interesting to look closer to this type of balance.

In case of a beam balance with arms of equal lengths, the equilibrium is attained when $m_1 g = m_2 g$, where m_1 and m_2 are the masses of the bodies laid on the two pans, and g is the gravitational acceleration. We will have equilibrium when $m_1 = m_2$, whatever the value of the acceleration is. The two bodies will be in equilibrium both on Earth and Moon. However, the mass sensitivity is not the same on Earth and on the Moon. In the general case, at equilibrium we have $m_1 a = m_2 a$, where a is the magnitude of the acceleration in that point. This is illustrated in Fig. 3.

The balance sensitivity is measured by the tipping angle α , which corresponds to a small difference between the two masses. For small angles, α is proportional to the difference between the two forces acting on the two arms:

$$\alpha = k(m_1 - m_2)a$$

where k is a constant which depends on the mechanical construction of the balance. When $m_1 = m_2$ the tipping angle α will be zero (equilibrium) whatever the value of the acceleration is. This is the typical situation for the use of a beam balance. However, if the two masses are not identical, the sensitivity illustrated by the angle α , will depend on the magnitude of the acceleration acting on the two bodies. If $\alpha = 6^\circ$ on Earth, it will be only 1° on the Moon. None of the balances we normally use in our laborato-

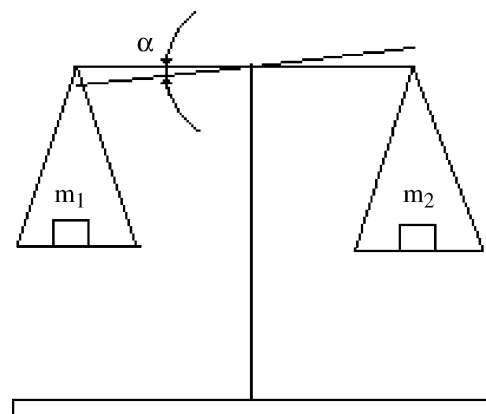


Fig. 3. Mass sensitivity of a beam balance.

ries (beam, cantilever, torsion or spring) can be used on a space laboratory orbiting around the Earth, because the gravitational acceleration is cancelled by the centrifugal acceleration. However, a QCM works excellent in the outer space [15]. The mass deposited on the surface of a quartz crystal resonator is subjected to an acceleration caused by the vibration of the quartz resonator.

The maximum value of the acceleration on the crystal surface can be increased by increasing the driving level in the crystal. However, this will not increase the integral mass sensitivity of the crystal. The additional energy will be shared between quartz and the deposited film and the same fraction of vibration energy will be transferred to the film, whatever the driving level is. This is clearly stated in the Energy Transfer Model [15].

The value $\Gamma \approx 10^{-9}$ N corresponds to very stable conditions. For a QCM this means ultra-high vacuum, constant temperature, no temperature gradients and no stress inside the crystal resonator. For a laboratory balance this means constant temperature, no air convection and no external vibrations. These provide an additional acceleration that will be vectorially added to the gravitational acceleration.

4. Acceleration as field intensity

Since Isaac Newton published “*Principia*” in 1687 the mankind has lived with two accelerations:

- a. *gravitational acceleration*;
- b. *inertial acceleration*, as a second time derivative of the space.

Einstein made a big step forward by formulating “*The Equivalence Principle*” in 1907. This states that the gravitational acceleration $g = 9.81 \text{ m/s}^2$ is equivalent with the acceleration of a uniformly accelerating frame with $g = d^2x/dt^2$. There is no experiment which can distinguish that we are on Earth, under the influence of the gravitational acceleration g , or we are in a spaceship, moving with the velocity $v = gt$ in a space without stars, without planets, thus, without any gravity. As a consequence, a body has the same mass on Earth and on that spaceship.

There is a striking similarity between the gravitational law, formulated by Newton:

$$F = G \frac{Mm}{r^2}$$

where $G = 6.67 \times 10^{-11} \text{ N m}^2/\text{kg}^2$ is the gravitational constant, and the force acting between two electric charges, defined by Coulomb’s law:

$$F = \frac{1}{4\pi\epsilon} \frac{Qq}{r^2}$$

In the case of the electric charges is defined the intensity of the electric field created by the charge Q , as the ratio between force and the charge q :

$$E = \frac{1}{4\pi\epsilon} \frac{Q}{r^2}$$

Thus, it is appropriate to define, in a similar manner, the intensity of the gravitational field created by the mass M , as the ratio

between the gravitational force and the mass m . It is clear that this ratio is the acceleration.

$$a = G \frac{M}{r^2}$$

Sometimes, the gravitational acceleration is interpreted as “gravitational field strength” [29]. In a similar way even the inertial acceleration, as the second time derivative of space, can be interpreted as field intensity. When the value of this acceleration is constant, e.g. not time dependent, we have an inertial field which intensity is not time dependent. When the value of the acceleration is time dependent, we have a time-dependent inertial field. Thus, on the surface of a quartz crystal resonator, used in QCM measurements, a time-dependent inertial field with intensity $a = -\omega^2 A \sin(\omega t)$ is developed during crystal vibration. The maximum value of the intensity of this field, $a = -\omega^2 A$, is several million times higher than the intensity of the gravitational field on Earth, g . Thus, the field developed on the surface of a quartz resonator, used for QCM measurements, can be interpreted as a time-dependent, mega-gravity inertial field.

5. Experimental evidence for the presence of a mega-gravity inertial field on the surface of a quartz resonator

Using laser assisted chemical vapour deposition (L-CVD), it was recently [30] demonstrated that a sedimentation of the carbon-nanoparticles occur in the central part of a quartz crystal resonator. Although the carbon-nanoparticles were produced in the laser beam, parallel to the quartz resonator surface, 3 mm above its surface, they were sedimented only on the vibrating area of the quartz resonator, where a time-dependent inertial field is created. However, the central part of the quartz resonator is free from carbon-nanoparticles because of the existence of the out of plane extensional vibrations induced by velocity gradients of the shear vibrations [30]. These vibrations develop forces, normal to the crystal surface, which exceeds the binding forces of the carbon-nanoparticles. Thus, the carbon-nanoparticles are expelled from those locations where out of plane vibrations are present.

In Fig. 4 is shown a quartz resonator coated with carbon-nanoparticles for 40 min, while the crystal current was 90 mA.

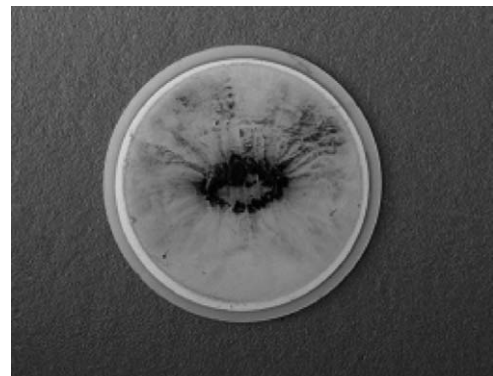


Fig. 4. A 6 MHz plano-convex AT-cut quartz resonator coated with carbon-nanoparticles for 40 min, while the crystal current was 90 mA.

On the surface of a vibrating quartz crystal resonator we have a time-dependent inertial field, its maximum intensity being at the centre of the quartz resonator. The strong time-dependent inertial field can be interpreted as a mega-gravity field. Although the intensity of this field varies between a maximum positive value and a maximum negative value, following the sinus function, its intensity is more than 90% of the maximum positive value about 14% of the vibration period and is more than 90% of its maximum negative value about 14% of the vibration period. This time is long enough to produce significant effects at the atomic and molecular level. Here it is possible the sedimentation of even atoms and the development of anomalous phenomena. A mega-gravity field may be useful in many applications in metallurgy, solid state chemistry, polymer physics, materials processing or biochemistry.

Recently [31,32], this mega-gravity field was used for the development of the so-called “bond breaking spectroscopy” and it might explain the enhanced catalytic activity on vibrating catalysts [33,34].

The time-dependent inertial field, developed at the surface of the QCM, permits its use as a mass sensor in the outer space on a space laboratory orbiting around the Earth although the gravitational acceleration is cancelled by the centrifugal acceleration [15].

The QCM is not only a mass sensor, but also an actuator, providing a mega-gravity field on its surface.

It does not matter what the vibrational mode of the quartz resonator is, in order to be used as a mass sensor [15,35–37].

It does not matter if we use quartz or other piezoelectric material for mass sensing [15,38,39].

The only important thing is to create a field that can act on the body which mass we want to measure.

6. QCM response in a liquid

It was shown that the product Γ between the minimum detectable mass and the acceleration acting on that mass is a constant. This means that mass sensitivity is proportional with the acceleration acting on the measured body or, in other words, that the mass sensitivity is proportional with the intensity of the field acting on the measured body. The concept of a field intensity dependent mass sensitivity can explain why the frequency of a shear vibrating quartz crystal resonator, in contact with a liquid, depends not only on the liquid density, but also on its viscosity.

The frequency change, when one face of a quartz crystal resonator is in contact with a liquid with density ρ_l and viscosity η_l , was first calculated by Kanazawa and Gordon [10,40] and later using the Energy Transfer Model [15].

$$\Delta f = -f_q^{3/2} \left(\frac{\rho_l \eta_l}{\pi \rho_q \mu_q} \right)^{1/2} \quad (2)$$

where ρ_q and μ_q are the quartz density and shear modulus, respectively.

When such a resonator is in contact with a liquid, and this is a common situation in many applications of the QCM, the vibra-

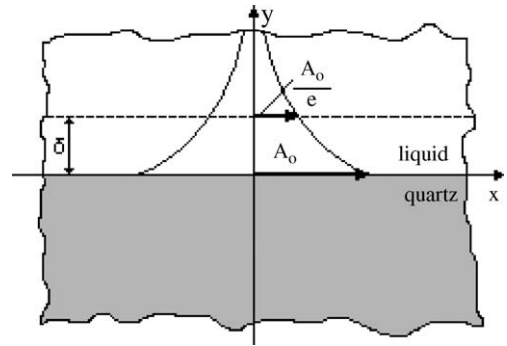


Fig. 5. Amplitude and acceleration decay at the quartz–liquid interface.

tion amplitude and also the acceleration decays exponentially from the crystal surface into the liquid, as shown in Fig. 5.

$$A(y) = A_0 \exp\left(\frac{-y}{\delta}\right)$$

where $\delta = (\eta_l / \pi f_q \rho_l)^{1/2}$ is the penetration depth, defined as the distance into the liquid layer where the vibration amplitude has diminished e times. Here η_l and ρ_l is the liquid viscosity and density, respectively, and f_q is the quartz resonator frequency. A_0 is the maximum vibration amplitude at the centre of the crystal resonator.

The larger the distance y from the quartz–liquid interface, the lower the vibration amplitude and acceleration. In the same way varies the field intensity too. A certain small volume of liquid situated at distance δ from the quartz–liquid interface will produce a frequency change e times smaller than the same volume of liquid situated in direct contact with the quartz surface. Because the mass sensitivity is field intensity dependent, it is obvious that the mass sensitivity depends on both the liquid density and viscosity. Liquid viscosity determines the amplitude and field intensity decaying profile. It is a widespread opinion that QCM is not only sensitive to the mass of the contacting liquid, but also to the viscosity of this liquid [41]. This is true, but it is a second order effect. The prime effect is a field intensity dependent mass sensitivity and the field intensity, as well as vibration amplitude, follows a function that includes both the liquid viscosity and density, as illustrated in Fig. 5. It is interesting to notice that in Eq. (2) we have the product between density and viscosity under square root. The two physical quantities cannot be separated. It should be a mechanism that is binding them together. This is the field intensity dependent mass sensitivity.

The film deposited on the quartz resonator surface must be adherent to the quartz resonator surface in order to have the same acceleration as the quartz resonator.

When the quartz resonator is in contact with a liquid, its roughness, or crevices can trap liquid molecules which will vibrate with the same amplitude and acceleration as the quartz resonator surface. These trapped molecules will exhibit a solid film behaviour, producing an additional frequency change [11,42].

7. Fundamental principles of mass measurements

The equivalence principle, formulated by Einstein in 1907, states the equality of the gravitational acceleration and inertial acceleration of a uniformly accelerating frame. This is not only the basis for the theory of general relativity, but also has a direct consequence: if both a gravitational field and an inertial field are present at a point of the space, then the total acceleration is $\vec{a}_t = \vec{a}_g + \vec{a}_i$, where \vec{a}_g is the gravitational acceleration and \vec{a}_i is the inertial acceleration.

At a certain point of a gravitational field \vec{a}_g has the same magnitude and direction.

At a certain point of an inertial field \vec{a}_i can have a time independent or a time-dependent magnitude and direction.

Acceleration measures not only the rate of the velocity change or the rate of the direction change but also the intensity of the associated field.

It is not possible to penetrate into a body, to identify all the atoms and to add their masses in order to get the total mass of that body. One should use something that can act on a body from a distance, and this is the field. For a neutral body the field should be gravitational, inertial or a superposition of these fields. For an electrically loaded body the field can be electric or magnetic. In a mass spectrometer we need at least an electric field to measure the mass of the ions.

For mass measurements three fundamental principles can be formulated:

1. *The Field Principle*: The mass of a body can only be measured by placing the body into a field. Acceleration acting on a body measures the field intensity.
2. *The Mass Sensitivity Principle*: The mass sensitivity of any balance is proportional to the intensity of the field acting on the measured body ($\Gamma = M_{\min}a = \text{const}$).
3. *The General Equivalence Principle*: The mass measured in a gravitational field or in a time independent inertial field ($a = \text{const}$) is undistinguishable from the mass measured in a time-dependent inertial field. When the field intensity is not time dependent ($a = \text{const}$), the mass is evaluated using a space related parameter (displacement, angle). When the field intensity is time dependent [$a = -\omega^2 A \sin(\omega t)$], a time related parameter that is synchronous with the field intensity (frequency) must be used in order to evaluate the mass of the body.

Einstein's Equivalence Principle refers to the situation when $a = \text{const}$. When Sauerbrey introduced the QCM in 1959, in order to test the validity of his equation, he placed a quartz crystal resonator, which developed a time-dependent inertial field [$a = -\omega^2 A \sin(\omega t)$], in the close vicinity of a mica substrate attached to a torsion microbalance in a vacuum deposition system. He measured the mass of the deposited films using both the time-dependent inertial field developed by the quartz resonator and the time independent gravitational field that was acting on the torsion balance. Thus, he provided the first experimental proof on the validity of the general equivalence principle.

The fact that the mass of a body can be measured using both a space related parameter (angle, displacement) and a time related parameter (frequency) reminds on Einstein's General Relativity which states that mass creates a curvature of the space-time.

8. Discussions

QCM was introduced by Sauerbrey in 1959 as a mass sensor [3]. It was successfully used for thin film thickness monitoring and for sorption studies in the gas phase [8].

When Kanazawa and Gordon [10,40] revealed in 1985 that the frequency change of a quartz crystal resonator in contact with a liquid depends not only on the density of the liquid, but also on its viscosity, many QCM users came to the idea that QCM is not really a mass sensor and, therefore, it is more appropriate to use the name "thickness shear mode" sensor [7].

When QCM was used as a biosensor it became clear that Sauerbrey's Eq. (1) is not valid for mass measurement in case of the viscoelastic layers. Thus, a "gravimetric and non-gravimetric" behaviour of the QCM was described [43,44]. It was even noticed that by using Sauerbrey's equation the mass of the viscoelastic layer is underestimated and the result is a "missing mass" [45]. Using *The Mass Sensitivity Principle* it is now possible to understand why this happens. The layers of the viscoelastic film are vibrating with an exponentially decaying amplitude from the quartz-film interface. Their acceleration and the intensity of the associated time-dependent inertial field becomes smaller and smaller the deeper we look into the deposited viscoelastic film. Thus, the mass sensitivity and the frequency change become smaller than in the case of a rigid, elastic film.

It is interesting to notice that the connection between field intensity (acceleration) and mass sensitivity is also included in Eq. (2) derived by Kanazawa and Gordon for 20 years ago. This additional significance was not clear at that time.

The mankind has performed "mass measurements" for more than 3500 years. After so many years the mass measuring process was considered triteness, without pondering too much on this problem. However, several questions related to QCM revealed that something is not quite clear with mass measurements. Luckily, a quartz crystal resonator provides a wide range of inertial field intensities on its surface, ranging from zero to million times the intensity of the gravitational field ($g = 9.81 \text{ m/s}^2$). It was thus possible to reveal the role of the acceleration (field intensity) on the mass sensitivity in different points on the quartz resonator surface.

It is very important to notice that in 1959 Sauerbrey not only introduced QCM, but he introduced a whole class of inertial balances. After this year several mass measuring devices were developed based on the frequency measurements in vibrating systems (tapered element oscillating microbalance, vibrating microcantilevers, etc.).

It is also important to mention that Sauerbrey proved *The General Equivalence Principle* in 1959 [3], 46 years before it was formulated. With his experimental set-up he revealed that the mass measured in a time-dependent inertial field created by a quartz crystal resonator is undistinguishable from the mass mea-

sured in a time-independent gravitational field using a torsion balance.

9. Conclusions

QCM is not only a sensitive mass sensor but also an actuator generating a mega-gravity, time-dependent inertial field on the surface of the quartz resonator. The very high mass sensitivity of the QCM is explained by the very high intensity of the field acting on the deposited film.

The product between the minimum detectable mass and the intensity of the field acting on that mass is a constant for both QCM and analytical microbalances when equivalent experimental conditions are used. For best experimental conditions this constant has a value of about 10^{-9} N.

Acceleration is a paramount condition for mass measurement. Therefore, in order to measure the mass of a body, we must place that body in a field.

The viscosity dependent frequency change of a quartz resonator in contact with a liquid is a second order effect resulting from the field intensity dependent mass sensitivity of the QCM. Thus, QCM is a real mass sensor belonging to a wider class of inertial mass sensors. Sauerbrey did not only introduce these mass sensors, but also proved *The General Equivalence Principle* experimentally, before it was formulated.

Acknowledgements

I thank Jan-Otto Carlsson, Mats Boman and Oscar Alm from the Ångström Laboratory, Department of Materials Chemistry, Uppsala University, for their kind support in performing the measurements with carbon-nanoparticles.

References

- [1] E. Robens, S.P. Garg, Strange weighers, *J. Therm. Anal. Calorim.* 71 (2003) 13–18.
- [2] Th. Gast, T. Brokate, E. Robens, Z. Ali, K. Pavey, Survey on mass determination systems. Part I. Fundamentals and history, *J. Therm. Anal. Calorim.* 71 (2003) 19–23.
- [3] G. Sauerbrey, Verwendung von Schwingquarzen zur Wägung dünner Schichten und Microwägung, *Z. Phys.* 155 (1959) 206–222.
- [4] V.M. Mecea, From quartz crystal microbalance to fundamental principles of mass measurements, *Anal. Lett.* 38 (2005) 753–767.
- [5] T. Brokate, Th. Gast, E. Robens, Z. Ali, Survey of mass determination with oscillating systems. Part II. Instruments and weighing of matter from gaseous environment, *J. Therm. Anal. Calorim.* 71 (2003) 25–29.
- [6] Z. Ali, K. Pavey, E. Robens, Survey of mass determination with oscillating systems. Part III. Acoustic wave mass sensors for chemical and biological sensing, *J. Therm. Anal. Calorim.* 71 (2003) 31–35.
- [7] D.S. Ballantine, R.M. White, S.J. Martin, A.J. Ricco, E.T. Zellers, G.C. Frye, H. Wohltjen, *Acoustic Wave Sensors: Theory, Design and Physico-Chemical Applications*, Academic Press, San Diego, CA, 1997.
- [8] C. Lu, A.W. Czanderna (Eds.), *Applications of Piezoelectric Quartz Crystal Microbalances*, Elsevier, Amsterdam, Oxford, New York, Tokyo, 1984.
- [9] S.J. Martin, V.E. Granstaff, G.C. Frye, Characterization of a quartz crystal microbalance with simultaneous mass and liquid loading, *Anal. Chem.* 63 (1991) 2272–2281.
- [10] K.K. Kanazawa, J.G. Gordon, Frequency of a quartz microbalance in contact with liquid, *Anal. Chem.* 57 (1985) 1770–1771.
- [11] S.J. Martin, G.C. Frye, A.J. Ricco, S.D. Senturia, Effect of the surface roughness on the response of thickness-shear mode resonators in liquids, *Anal. Chem.* 65 (1993) 2910–2922.
- [12] C.K. O'Sullivan, G.G. Guilbault, Commercial quartz crystal microbalances—theory and applications, *Biosens. Bioelectron.* 14 (1999) 663–670.
- [13] M. Rodahl, B. Kasemo, On the measurement of thin liquid overlayers with quartz-crystal microbalance, *Sens. Actuators A* 54 (1996) 448–456.
- [14] K.A. Marx, Quartz crystal microbalance: a useful tool for studying thin polymer films and complex biomolecular systems at the solution–surface interface, *Biomacromolecules* 4 (2003) 1099–1120.
- [15] V.M. Mecea, Loaded vibrating quartz sensors, *Sens. Actuators A* 40 (1994) 1–27.
- [16] P.L. Konash, G.J. Bastiaans, Piezoelectric crystals as detectors in liquid chromatography, *Anal. Chem.* 52 (1980) 1929–1931.
- [17] V.M. Mecea, A new method of measuring the mass sensitive area of quartz crystal resonators, *J. Phys. E Sci. Instrum.* 22 (1989) 59–61.
- [18] G. Sauerbrey, Messung von Plattenschwingungen kleiner Amplitude durch Lichtstrommodulation, *Z. Phys.* 178 (1964) 457–471.
- [19] R.M. Mueller, M. White, Direct gravimetric calibration of a quartz crystal microbalance, *Rev. Sci. Instrum.* 39 (1968) 291–295.
- [20] B.A. Martin, H.E. Hager, Velocity profile on quartz crystals oscillating in liquids, *J. Appl. Phys.* 65 (1989) 2630–2635.
- [21] T.W. Schneider, S.J. Martin, Influence of the compressional wave generation on thickness-shear mode response in a fluid, *Anal. Chem.* 67 (1995) 3324–3335.
- [22] D.A. Buttry, M.D. Ward, Measurement of interfacial process at electrode surfaces with the electrochemical quartz crystal microbalance, *Chem. Rev.* 92 (1992) 1355–1379.
- [23] R. Lucklum, P. Hauptmann, Determination of polymer shear modulus with quartz crystal resonators, *Faraday Discuss.* 107 (1997) 123–140.
- [24] K.K. Kanazawa, Mechanical behaviour of films on the quartz microbalance, *Faraday Discuss.* 107 (1997) 77–90.
- [25] B. Borowsky, B.L. Mason, J. Krim, Scanning tunnelling microscope measurements of the amplitude of vibrations of a quartz crystal oscillator, *J. Appl. Phys.* 88 (2000) 4017–4021.
- [26] A.W. Warner, C.D. Stockbridge, in: K.H. Behrndt (Ed.), *Vacuum Microbalance Technique*, vol. 3, Plenum Press, New York, 1963, pp. 55–73.
- [27] R.J. Vig, F.L. Walls, Fundamental limits on the frequency instabilities of quartz crystal oscillators, in: *International Frequency Control Symposium*, 1994, pp. 506–509.
- [28] V.M. Mecea, J.-O. Carlsson, R.V. Bucur, Extensions of the quartz crystal microbalance technique, *Sens. Actuators A* 53 (1996) 371–378.
- [29] J. Breithaupt, *Understanding Physics for Advanced Levels*, third ed., Stanley Thornes Publishers Ltd., 1995, p. 160.
- [30] V.M. Mecea, Out-of-plane vibrations of quartz resonators used in quartz crystal microbalance measurements in gas phase, *Sens. Actuators A* 125 (2006) 143–147.
- [31] F.N. Dultsev, V.P. Ostanin, D. Klenerman, “Hearing the bond breakage”. Measurement of bond rupture forces using a quartz crystal microbalance, *Langmuir* 16 (2000) 5036–5040.
- [32] M.A. Cooper, F.N. Dultsev, T. Minson, P.V. Ostanin, C. Abell, D. Klenerman, Direct and sensitive detection of a human virus by rupture event scanning, *Nat. Biotechnol.* 19 (2001) 833–837.
- [33] H. Nishiyama, N. Saito, M. Shima, Y. Watanabe, Y. Inoue, Effects of acoustic waves on activation of thin Pd and Ni catalysts for ethanol and CO oxidation, *Faraday Discuss.* 107 (1997) 425–434.
- [34] S. Kelling, T. Mitrealis, Y. Matsumoto, V.P. Ostanin, D.A. King, Influence of acoustic wave excitation on CO oxidation over a Pt{110} single crystal, *Faraday Discuss.* 107 (1997) 435–444.
- [35] S.W. Wenzel, R.M. White, Analytic comparison of the sensitivities of bulk-wave, surface wave and flexural plate-wave ultrasonic gravimetric sensors, *Appl. Phys. Lett.* 54 (1989) 1976–1978.
- [36] E. Benes, M. Gröschl, W. Burger, M. Schmidt, Sensors based on piezoelectric resonators, *Sens. Actuators A* 48 (1995) 1–21.

- [37] S.J. Martin, M.A. Butler, J.J. Spates, M.A. Mitchell, W.K. Schubert, Flexural plate wave resonator excited with Lorentz forces, *J. Appl. Phys.* 83 (1998) 4589–4601.
- [38] R.P. O’Toole, S.G. Burns, G.J. Bastiaans, M.D. Porter, Thin aluminium nitride film resonators: miniaturized high sensitivity mass sensors, *Anal. Chem.* 64 (1992) 1289–1294.
- [39] H. Fritze, H.L. Tuller, H. She, G. Borchard, High temperature nanobalance sensor based on langasite, *Sens. Actuators B* 76 (2001) 103–107.
- [40] K.K. Kanazawa, J.G. Gordon, The oscillation frequency of a quartz resonator in contact with a liquid, *Anal. Chim. Acta* 175 (1985) 99–105.
- [41] C. Henry, Measuring the masses: quartz crystal microbalance, *Anal. Chem.* 68 (1996) 625A–628A.
- [42] L.A. Theisen, S.J. Martin, A.R. Hillman, A model for the quartz crystal microbalance frequency response to wetting characteristics of corrugated surfaces, *Anal. Chem.* 76 (2004) 796–804.
- [43] R. Lucklum, C. Behling, P. Hauptmann, Gravimetric and non-gravimetric chemical quartz crystal resonator, *Sens. Actuators B* 65 (2000) 277–283.
- [44] R. Lucklum, P. Hauptmann, Transduction mechanism of acoustic based chemical and biochemical sensors, *Meas. Sci. Technol.* 14 (2003) 1854–1864.
- [45] M.V. Voinova, M. Jonson, B. Kasemo, “Missing mass” effect in biosensors’s QCM applications, *Biosens. Bioelectron.* 17 (2002) 835–841.

Biography

V.M. Mecea was born in 1948 in Brasov, Romania. He graduated from the Physics Department of the “Babes-Bolyai” University of Cluj-Napoca, Romania, in 1972. He received his PhD in 1979 on a subject concerning the use of the quartz crystal microbalance for studies on hydrogen and deuterium interaction with palladium films. In Sweden he worked for various periods as visiting scientist in the Department of Materials Chemistry at Ångström Laboratory in the University of Uppsala. He worked also in R&D at Quartz Pro in Stockholm with quartz crystals and oscillators. Now he is involved in R&D of the QCM technique at QCM Laboratory. He has published more than 40 scientific papers, most of them in the field of the QCM. He also holds four patents.